IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Fan

Docket No.: FAN-001

Serial No.:

10/796,369

Art Unit:

3745

Filed:

March 9, 2004

Examiner:

TBD

For:

Wind Powered Turbine in a Tunnel

Certificate of Mailing via First Class Mail (37 C.F.R. § 1.8(a))

Date of Deposit:

June 23, 2004

I hereby certify that the below listed correspondence is being deposited with the United States Postal Service on the date indicated above as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Certificate of Mailing via First Class Mail (1 page) Information Disclosure Statement (1 page) PTO/SB/08A with 313 References Cited (10 pages) Copy of 15 Cited References Return Postcard

Respectfully submitted,

Kristin Haves

Legal Assistant

Slater & Matsil, L.L.P. 17950 Preston Rd., Suite 1000 Dallas, TX 75252

Tel: 972-732-1001 Fax: 972-732-9218



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Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicant wishes to bring to the attention of the Patent and Trademark Office the information noted on the enclosed form PTO/SB/08A that may be considered material to the examination of the above-identified application.

No fee is due at this time, as this Information Disclosure Statement is being filed pursuant to 37 C.F.R. § 1.97(b)(3), before the mailing of a first Office action on the merits.

Pursuant to 37 CFR 1.98(a)(2)(i), as amended, copies of U.S. Patents cited are not being submitted. However, Applicant has included copies of any non-patent literature.

Respectfully submitted,

Barry W/Dove

Attorney for Applicant

Reg. No. 45,862

Slater & Matsil, L.L.P. 17950 Preston Rd., Suite 1000 Dallas, TX 75252 (972) 732-1001 (phone) (972) 732-9218 (fax)

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Sheet

PTO/SB/08a (08-03)
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FAN-001

Under the Pap rk Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. MADEMP Complete if Known Substitute for form 1449A/PTO **Application Number** 10/796,369 INFORMATION DISCLOSURE Filing Date March 9, 2004 STATEMENT BY APPLICANT First Named Inventor Fan Art Unit 3745 (Use as many sheets as necessary) **Examiner Name TBD**

Attorney Docket Number

10

•	U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number Number - Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	1	US- 104,918	06-28-1870	Clair			
	2	US- 143,100	09-23-1873	Sheplar et al.			
	3	US- 175,530	03-28-1876	· Vernor			
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	5	US- 231,253	08-17-1880	Austin			
	6	US- 274,541	03-27-1883	Zwiebel			
	7	US- 399,171	03-05-1889	Townsend			
	8	US- 410,360	09-03-1889	Ham			
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	11	US- 434,108	08-12-1890	Gatlin			
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	20	US- 648,442	05-01-1900	Scott			
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Examiner	•	Date	
Signature		Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

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Sub	stitute for form 1449A/PT()		Complete if Known		
		_		Application Number	10/796,369	
IN	IFORMATION	I DISCL	OSURE	Filing Date	March 9, 2004	
S	STATEMENT BY APPLICANT			First Named Inventor	Fan	
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Sheet	2	of	10	Attorney Docket Number	FAN-001	

			U.S. PATENT	DOCUMENTS	
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No.1	Number - Kind Code ^{2 (# known)}	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
	37	US- 1,263,983	04-23-1918	Winne	
	38	US- 1,300,499	04-15-1919	Slagel	
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	40	US- 1,315,595	09-09-1919	Clark	
·····	41	US- 1,329,668	02-03-1920	Lee	
	42	US- 1,342,206	06-01-1920	Ellehammer	
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,	45	US- 1,433,995	10-31-1922	Fowle	
	46	US- 1,441,774	01-09-1923	Adams	
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Examiner			Date	
Signature		lo	Considered	

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S	ubstitute for form 1449A/PT0			Col	Complete if Known		
				Application Number	10/796,369		
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Sheet	3	of	10	Attorney Docket Number	FAN-001		

	U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number Number - Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	73	US- 2,106,928	02-01-1938	Lee	· ·	
	74	US- 2,137,559	11-22-1938	Algee		
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	108	US- 3,883,750	05-13-1975	Uzzell, Jr.		

Examiner	Date	
Signature	Considered	

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	NFORMATION			Filing Date	March 9, 2004.	
S	STATEMENT BY APPLICANT			First Named Inventor	Fan	
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	109	US- 3,895,885	07-22-1975	Moyer	
	110	US- 3,902,072	08-26-1975	Quinn	
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	114	US- 3,977,409	08-31-1976	Brendling	
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	118	US- 4,017,204	04-12-1977	Sellman	
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<u></u>	136	US- 4,079,264	03-14-1978	Cohen	
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Examiner	Date	
Signature	Considered	

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Attorney Docket Number

Sheet

		:	U.S. PATENT	DOCUMENTS	
	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Examiner Initials*	No. ¹	Number - Kind Code ^{2 (if known)}	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
	145	US- 4,127,356	11-28-1978	Murphy	
	146	US- 4,132,499	01-02-1979	Igra	
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·	149	US- 4,142,822	03-06-1979	Herbert et al.	
	150	US- 4,143,992	03-13-1979	Crook	
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Examiner	 Date	
Signature	Considered	

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_	INFORMATION DISCLOSURE STATEMENT BY APPLICANT			Filing Date	March 9, 2004
S				First Named Inventor	Fan
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Sheet	6	of	10	Attorney Docket Number	FAN-001

			U.S. PATENT	DOCUMENTS	
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Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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Sut	Substitute for form 1449A/PTO			Complete if Known	
				Application Number	10/796,369
	NFORMATION			Filing Date	March 9, 2004
S	STATEMENT BY APPLICANT			First Named Inventor	Fan
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				Examiner Name	TBD
Sheet	7	of	10	Attorney Docket Number	FAN-001

			U.S. PATENT	DOCUMENTS	-
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No.1	Number - Kind Code ^{2 (if known)}	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
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Signature	Considered

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Sut	Substitute for form 1449A/PTO			Col	Complete if Known		
				Application Number	10/796,369		
[]	INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			Filing Date	March 9, 2004		
S				First Named Inventor	Fan		
				Art Unit	3745		
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Sheet	8	of	10	Attorney Docket Number	FAN-001		

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Examiner Initials*	Cite No.1	Document Number Number - Kind Code ^{2 (if known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
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the appropriate symbols as indicated on the document under wire obtained on the appropriate symbols as indicated on the document under wire obtained on the problem of the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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				Application Number	10/796,369
	NFORMATION			Filing Date	March 9, 2004
S	STATEMENT BY APPLICANT			First Named Inventor	Fan
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Sheet	9	of	10	Attorney Docket Number	FAN-001

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Examiner	Cita	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where		
Initials*	Cite No.1	Number - Kind Code ^{2 (if known)}	MM-DD-YYYY	Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
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Complete if Known Substitute for form 1449A/PTO Application Number 10/796,369 INFORMATION DISCLOSURE Filing Date March 9, 2004 STATEMENT BY APPLICANT First Named Inventor Fan Art Unit 3745 (Use as many sheets as necessary) Examiner Name TBD Sheet 10 10 of Attorney Docket Number

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Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т	
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	300	DT-25 05 954-A1	08-26-1976	Wieduwilt Georg		1	
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	313	DE-196 486 32-A1	04-23-1998	Burger Helmut			
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